

# CSE525 Lecture 20 M21

Knapsack (DP) =  $\{(v_1, w_1), \dots, (v_n, w_n), W\}$ : Maximize value of items whose total wt is at most  $W$ .  
 KNAPSACK( $S = \{(v_1, w_1), \dots, (v_n, w_n)\}, W, V$ ): Is there a subset of items whose total value is at least  $V$  and total weight is at most  $W$ ?

PARTITION( $A = \{a_1 \dots a_m\}$ ): Can the array be partitioned into two parts with equal sums?

SUBSETSUM( $A = \{a_1 \dots a_m\}, T$ ): Is there a subset of  $A$  whose sum is  $T$ ?

Detour

$sum = T \Leftrightarrow sum \geq T \ \& \ sum \leq T$

These two are polynomially equivalent.

OPT( $x$ ): Maximize  $f(x)$

DECOPT( $x, k$ ): Is maximum  $f(x) \geq k$ ?

Given algo for OPT (AlgoOPT)  $\rightarrow T_1(x)$   
 design algo for DECOPT?

small overhead allows us to solve one using the other

def AlgoDECOPT( $x, k$ ): return AlgoOPT( $x$ )  $\geq k$  ; // running time:  $O(T_1(x))$

Given algo for DECOPT (AlgoDECOPT), design  $T_2(x)$   $\left\{ \begin{array}{l} \text{def AlgoOPT}(x) : \\ \text{for } k=1 \dots \text{trivial limit}(z) : \\ \text{if AlgoDECOPT}(x, k) \\ \text{is false:} \\ \text{return } k+1; \end{array} \right.$

$Z$  for Knapsack:  $\sum v_i$

$|v_i| = \lg(v_i)$

$v_i = 2^{\lg(v_i)} = 2^{|v_i|}$   
 $\neq \text{poly}(\lg(v_i))$

running time:  $O(T_2(x) * Z)$

$|\sum v_i| = \sum \lg(v_i)$

$\sum v_i$  is not  $\text{poly}(\sum \lg(v_i))$

running time:  $O(T_2(x) * \sum v_i)$

not a polynomial time reduction

1000 number of digits  $\approx 4$ , number of bits = 9

$x$

$\lceil \lg_{10}(x) \rceil$

$= \lceil \lg_2(x) \rceil$

$\lg_{10}(x) = \lg_2(x) * \lg_{10} 2$

$\approx \Theta(\lg_2(x))$

$|x| = \# \text{digits} / \# \text{bits} = O(\lg_2(x)) =$

Design a binary search: determine largest  $K$  s.t. DECKNAPSACK( $x, K$ ) return Yes.

$O(T_2(x) * \lg(Z)) = O(T_2(x) * \lg(\sum v_i))$

SUBSETSUM  $\leq$  KNAPSACK: // Knapsack is a general form of SUBSETSUM

def Reduce( $A, T$ ): return  $\{(a_1, a_1) \dots (a_n, a_n)\}$ ,

$W = T$   
 $V = T$

Reduce( $A, T$ ) =  $(S, W, V)$

Lemma:  $A = \{a_1 \dots a_n\}$  has a subset with  $sum \geq T$  &  $sum \leq T$  iff

$S$  has some items with  $value \geq V$  &  $(wt) \leq W$

? works?  
 $\{(1, a_1) \dots (1, a_n)\}$   
 $W = T$   
 $V = n$

SCHEDULE(homeworks  $\{H_1 \dots H_n\}$ ): decide if all these homeworks can be submitted?  
 Each  $H_i = (a_i : \text{announce time}, s_i : \text{solving time}, d_i : \text{deadline})$  // parallel jobs not possible

Reduce from SUBSETSUM( $X = \{x_1 \dots x_n\}, T$ ): Is there a subset of  $X$  whose sum is  $T$ ?

SUBSETSUM  $\leq$  SCHEDULE

Lemma: (0) Reduction is polynomial time.

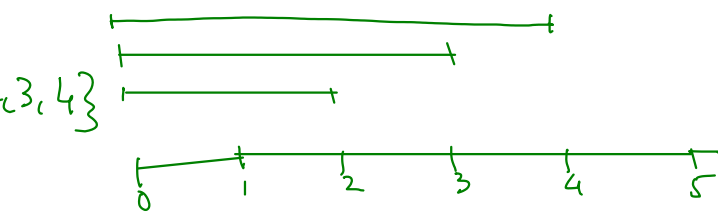
(i) If  $X$  has a subset  $Y$  whose sum is  $T$  then there is a way to schedule all  $H_i$ 's.

(ii) If there is a way to schedule all  $H_i$ 's then there exists a subset  $X'$  of  $X$  with sum  $T$ .

def Reduce( $X = \{x_1 \dots x_n\}, T$ ):  $X = \{2, 3, 4\}$

~~return~~  $\{ H_i = (0, x_i, x_i) \}$

~~return~~  $\{ H_i = (0, x_i, \underbrace{\sum x_{i+1}}_{\text{sum of } \mathcal{Q}}) \}$



← can be always scheduled even though  $X$  has no good subset.

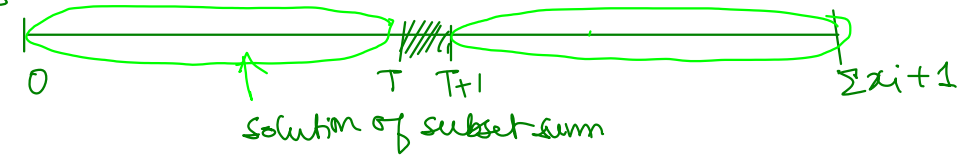
~~return~~  $\{ H_i = (x_i, x_i, 2x_i) \}$

~~return~~  $\{ H_i = (0, x_i, T) \}$   $X = \{2, 3, 4\}, T = 1000$

✓  
n+1 homeworks

$H_i = \{ (0, x_i, \sum x_{i+1}) \}$  for  $i = 1 \dots n$

$H_{n+1} = (T, 1, T+1)$  ← must be done from  $T$  to  $(T+1)$



(Not part of Quiz Syllabus)

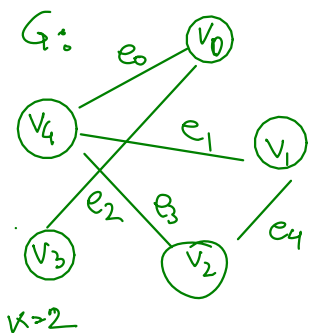
# Reduce IS (independent set) to SUBSETSUM !

Lemma: Let  $\text{Reduce}(G, k) = (X, T)$ .

(0) Reduction is polynomial time.

(i) If  $G$  has a subset  $V'$  of (exactly/at least)  $k$  vertices then  $X$  has a subset  $X'$  of sum  $T$ .

(ii) If  $X$  has a subset  $X'$  of sum  $T$  then  $G$  has a subset  $V'$  with (exactly/at least)  $k$  vertices.



$k=2$

one column for every edge.

	$e_0$	$e_1$	$e_2$	$e_3$	$e_4$	
$x_0$ :	1	0	1	0	0	1
$x_1$ :	0	1	0	0	1	1
$x_2$ :						
$x_3$ :						
$x_4$ :						
$y_0$ :	1	0	0	0	0	0
$y_1$ :	0	1	0	0	0	0
$y_2$ :	0	0	1	0	0	0
$y_3$ :	0	0	0	1	0	0
$y_4$ :	0	0	0	0	1	0

allows  
: put 1 in  $i$ th index if  $e_i$  is an edge from  $x_i$   
all one

The numbers are represented in base  $b$ .  $b$  is chosen so that sum of any column does not generate any carry in base  $b$ . For example  $b = |V| + 1 = 6$ .  
 $\therefore$  In this example,  $x_0$  is  $(101001)_6 = 7993$ .

$|V| + |E|$  element in  $S$   
each element is represented using  $(|E| + 1)$  numbers in base  $b$ .

for each edge

Notation:  $(x)_c$  denotes value in the  $c$ -th column of  $x$  when written in base  $c$ . So  $(x)_1 = \text{LSB}$  of  $x$ . Observe that  $(y_c)_c = 1$ .

Example

	MSB				LSB	
base 6	1	0	1	0	0	1
" +	0	0	1	0	1	1
"	1	0	2	0	1	2
Column	6	5	4	3	2	1

$T : 1 \ 1 \ 1 \ 1 \ 1 \ K$   
Let  $T = \binom{T}{E+1} \binom{T}{E} \binom{T}{E-1} \dots \binom{T}{3} \binom{T}{2} \binom{T}{1}$   
(MSB) (LSB)

Proof of  $\Rightarrow$  Suppose  $v_1 \dots v_k$  form an independent set in  $G$ .  
Start with  $S' = \{x_1 \dots x_k\}$  [numbers corresponding to the vertices]

Claim: For each column  $c = 1$  (LSB),  $\dots$ ,  $|E|+1$  (MSB),  $\sum_{x \in S'} (x)_c = (T)_c$

Proof for  $c=1$ : The sum of LSBs of these  $(x)_1$ s add up to  $K$  (LSB of  $T$ ).  
since there are  $k$   $x$ 's in  $S'$  and LSB of each  $x$  is 1.

Proof for  $c > 2$ : Edge  $e_c$  has only two vertices. So only two  $x$ 's have  $(x)_c = 1$ .  
Since  $x$ 's in  $S'$  form an IS, either exactly one of them is in  $S'$  or none or none of them are in  $S'$ . If exactly one of them is in  $S'$ , then  $\sum_{x \in S'} (x)_c = 1 = (T)_c$ .  
If none are in  $S'$ , include  $y_c$  in  $S'$ . Now  $\sum_{x \in S'} (x)_c + (y_c)_c = 1$  ( $\because (y_c)_c = 1$ )  
This  $y_c$  does not interfere with the claim for other  $c$  since  $(y_d)_d = 0$  if  $d \neq c$ .

Proof of  $\Leftarrow$ : Suppose  $S' = \{x_i, \dots, y_i, \dots\}$  is a subset of  $S$  whose sum is  $T$ .

$$\therefore \text{For all column } c \quad \sum_{z \in S'} (z)_c = (T)_c.$$

Since  $(T)_1 = K$  &  $(y_i)_1 = 0$  &  $(x_i)_1 = 1, \therefore S'$  must have exactly  $K$   $x$ 's.

Claim: These  $K$   $x$ 's correspond to  $K$  vertices which form an independent set.

Proof: Take any edge, let the corresponding column be  $c \geq 2$ .

Let the endpoints of that edge be  $x_i$  &  $x_j$ .

$$\therefore (x_i)_c = 1 \text{ \& } (x_j)_c = 1. \text{ Since } (T)_c = 1,$$

so,  $S'$  cannot have both  $x_i$  &  $x_j$ .

$\therefore$  For any edge, both its endpoints are not in  $S'$ .